

# Quarterly Report: Support to the Electoral Process in the Federal Republic of Somalia *Project Initiation Plan (PIP)*

Reporting Period	2 <sup>nd</sup> Quarter 2015
Government Counterpart	Ministry of Interior and Federal Affairs
PSG	1: Inclusive Politics
PSG priority	Priority 3: Prepare for and hold credible elections by 2016
Focus Locations:	Federal level
AWP Budget	815,593
Available Funds for year	1,456,152
Expenditure to date	231,104

## CONTRIBUTING DONORS:

NORWAY, SIDA AND UNDP



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## ABBREVIATIONS AND ACRONYMS

AWP	Annual Work Plan
BFC	Boundaries and Federation Commission
CDI	Conflict Dynamics Institute
EISA	Electoral Institute for Sustainable Democracy in Africa
FGS	Federal Government of Somalia
JP	Joint Programme
LOA	Letter of Agreement
MOIFA	Ministry of Interior and Federal Affairs
MPTF	Multi Partner Trust Fund (UN)
NIEC	National Independent Electoral Commission
PIP	Project Initiation Plan
PSGs	Peacebuilding and Statebuilding Goals
SDRF	Somalia Development and Reconstruction Facility
SIDA	Swedish International Development Agency
SSG	Strengthening Somali Governance
UNDP	United Nations Development Programme
UNSOM	UN Assistance Mission in Somalia

## SECTION 1 – KEY DEVELOPMENTS DURING THE REPORTING PERIOD

- The selection process for the nine NIEC commissioners continued in line with provisions set out in the NIEC law. Following the vacancy announcement by the Ministry of Interior and Federal Affairs (MoIFA) in March applications were vetted by a temporary selection committee after which the Ministry submitted 27 names to the Council of Ministers from which the Council selected nine names for submission to Federal Parliament<sup>1</sup>. Two out of the nine individuals recommended to Parliament are women in compliance with the minimum gender requirements within the NIEC law.
- In preparation for the NIEC's establishment work commenced to put up pre-fabricated structures at Villa Somalia that will serve as temporary offices for the NIEC in response to an earlier request by the Prime Minister's Office. Procurement of office equipment and furniture for the NIEC was also undertaken.
- A Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia was signed on 17 June by MoIFA and the UN following approvals by the Somalia Development and Reconstruction Facility (SDRF) Steering Committee and the Programme Board. The Joint Programme has an initial duration of six months and focuses on four priority areas: (i) the physical establishment of the NIEC, (ii) capacity development of the NIEC, (iii) development of the legal framework for elections and referendum and (iv) raising awareness of the legal framework and electoral processes.
- The first meeting of the Joint Programme Board was held on 14 May to approve the Programme Document.
- Preparations were made to field a UN electoral Pre-Assessment Mission (PAM) in July<sup>2</sup> to take stock of the situation in Somalia with regard to preparation for elections in 2016.

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<sup>1</sup> Federal Parliament endorsed the nine NIEC commissioners on 6 July.

<sup>2</sup> The UN Pre-Assessment Mission (PAM) took place from 6-12 July.

## SECTION 2 – PROGRESS AGAINST OUTPUTS & PLANNED ACTIVITIES IN ANNUAL WORK PLAN

### OUTPUT 1 – SUPPORT THE ESTABLISHMENT OF THE NATIONAL INDEPENDENT ELECTORAL COMMISSION (NIEC)

#### Narrative update on Progress towards Output

The selection process for the nine NIEC commissioners continued in line with provisions set out in the NIEC law. Following the vacancy announcement by the Ministry of Interior and Federal Affairs (MoIFA) in March applications were vetted by a temporary selection committee after which the Ministry submitted 27 names to the Council of Ministers from which the Council selected nine names for submission to Federal Parliament. Two out of the nine individuals recommended to Parliament are women in compliance with the minimum gender requirements within the NIEC law. In preparation for the NIEC's establishment work commenced to put up pre-fabricated structures at Villa Somalia that will serve as temporary offices for the NIEC in response to an earlier request by the Prime Minister's Office. Procurement of office equipment and furniture for the NIEC was also undertaken.

Output Indicators	Baseline	Annual Target	Progress to date
1. NIEC established	1. No NIEC in place	1. NIEC established by 1 July 2015	<p>1. In accordance with the selection process for NIEC commissioners outlined in the NIEC law the Council of Ministers submitted nine names to Federal Parliament. Two of the nine individuals recommended to become commissioners are women, complying with the minimum gender requirements within the NIEC law.</p> <p>2. Work commenced to prepare and erect temporary offices at Villa Somalia for the NIEC in line with the request received from the</p>

			Prime Minister's Office and procurement of office equipment and furniture for the NIEC commenced in preparation for the NIEC's establishment.
<b>Planned Activities as per Annual Work Plan</b>		<b>Progress Against Activities</b>	
<ol style="list-style-type: none"> <li>1. Assessment of potential NIEC premises and recruitment of an architect to prepare proposals, draft plans and prepare a budget for the physical establishment of the NIEC.</li> <li>2. Provide advice on NIEC law.</li> <li>3. Drafting of options for NIEC organizational structure and drafting of NIEC staffing ToRs in anticipation of NIEC establishment.</li> </ol>		<ol style="list-style-type: none"> <li>1. Site assessments and plans for temporary offices for the NIEC were completed in Q1 and work to prepare and erect temporary offices at Villa Somalia commenced in Q2. Procurement of office equipment and furniture for NIEC also commenced in this quarter.</li> <li>2. This activity was completed in Q1.</li> <li>3. Sample organizational structures and staffing Terms of Reference (ToRs) from other electoral management bodies were sourced.</li> </ol>	
<b>Sources of Evidence for Results Progress and Achievements</b>			
<ul style="list-style-type: none"> <li>• Photographs of work on the temporary NIEC offices at Villa Somalia.</li> </ul>			

## OUTPUT 2 – SUPPORT TO THE INCLUSIVENESS AND TRANSPARENCY OF THE ELECTORAL PROCESS

### Narrative update on Progress towards Output

A briefing that gave an overview of comparative electoral systems was held for senior FGS leadership upon the request of the Prime Minister in June and planning commenced for a workshop for MoIFA staff to strengthen their understanding of democratic processes. Work also continued on the compilation of historical electoral laws with progress made in translating Italian laws into English; drafting of factsheets on the role of the NIEC and the electoral cycle; and translation of the first set of 275 terms and definitions from English to Somali as part of an electoral lexicon.

Output Indicators	Baseline	Annual Target	Progress to date
1. Number of consultations and workshops held.	1. No recent history of national electoral processes in Somalia	1. Better understanding on matters related to the electoral legal framework and/or the planning of forthcoming electoral operations	1. A briefing on comparative electoral systems was conducted for senior FGS leadership. 2. Planning commenced for a <i>Building Resources in Democracy, Governance and Elections</i> (BRIDGE) workshop for MoIFA staff to be held after Eid.
Planned Activities as per Annual Work Plan		Progress Against Activities	
<ol style="list-style-type: none"> <li>1. Provide advice and prepare papers on electoral-related matters including electoral system and electoral law.</li> <li>2. Consultations, roundtables and workshops with electoral stakeholders</li> <li>3. Development of an electoral lexicon (English/Somali) and materials and factsheets on electoral-related matters and the printing and dissemination of these documents and the NIEC law.</li> <li>4. Study tour/workshops for electoral stakeholders.</li> </ol>		<ol style="list-style-type: none"> <li>1. A briefing that gave an overview of comparative electoral systems was held for senior FGS leadership upon the request of the Prime Minister in June. The Deputy Prime Minister, State Minister for Interior and Federal Affairs and senior aides to the Prime Minister attended the briefing. A senior electoral expert was engaged to support the preparation of briefing material and participate in the briefing.</li> <li>2. Planning commenced to conduct an internationally recognized elections workshop for MoIFA staff. The <i>Building Resources in Democracy, Governance and Elections</i> (BRIDGE) workshop is expected to be held after Eid to strengthen understanding of democratic processes amongst MoIFA's staff.</li> </ol>	

An accredited BRIDGE facilitator was identified and arrangements were made for her arrival in July.

3. Progress was made on the compilation of Somalia's historical electoral laws with the translation of laws from Italian into English nearing completion. Factsheets on the role of the NIEC and the electoral cycle were drafted in preparation for the establishment of the NIEC. The first set of 275 terms and definitions was translated from English into Somali as part of an electoral lexicon. The terms and their definitions in Somali, English and Arabic were shared with MoIFA for their review.
4. No study tours were undertaken in Q2 as planned participation by representatives from MoIFA and civil society to the EC-UNDP Joint Task Force Global Conference "Towards Inclusive Electoral Processes" in Kathmandu in May was canceled due to the earthquake in Nepal.

**Sources of Evidence for Results Progress and Achievements**

- Draft factsheets

## OUTPUT 3 – SUPPORT TO THE COORDINATION OF ELECTORAL ASSISTANCE PROVIDERS

### Narrative update on Progress towards Output

A coordination meeting was organized by MoIFA with international electoral assistance providers in April. The UNSOM/UNDP Integrated Electoral Support Group, Electoral Institute for Sustainable Democracy in Africa (EISA), Conflict Dynamics International (CDI) and Strengthening Somali Governance (SSG) participated in the meeting with MoIFA to share their initial plans.

Output Indicators	Baseline	Annual Target	Progress to date
1. Coordination mechanism established	1. A number of international electoral assistance providers are currently supporting the Federal government of Somalia and improved coordination is required	1. More effective and coherent international electoral assistance delivery through prevention of duplication of efforts and better coordination	1. An effective coordination mechanism is not yet in place.
Planned Activities as per Annual Work Plan		Progress Against Activities	
<ol style="list-style-type: none"> <li>1. Electoral assistance information sharing and coordination meetings in Nairobi and Mogadishu.</li> <li>2. Development and updating of a matrix mapping out electoral assistance activities undertaken by different stakeholders.</li> <li>3. Planning, Implementation and Coordination Specialist</li> </ol>		<ol style="list-style-type: none"> <li>1. A coordination meeting was organized by MoIFA with international electoral assistance providers in April with the UN, Electoral Institute for Sustainable Democracy in Africa (EISA), Conflict Dynamics International (CDI) and Strengthening Somali Governance (SSG) participating. In addition, the UNSOM/UNDP Integrated Electoral Support Group participated in PSG1 sub-working group and working group meetings.</li> <li>2. Information for an initial matrix was provided to MoIFA however a more detailed matrix needs to be compiled once assistance providers have more clarity on their plans.</li> <li>3. A Planning, Implementation and Coordination Specialist was recruited who will commence his work in July to support coordination efforts.</li> </ol>	
Sources of Evidence for Results Progress and Achievements			
<ul style="list-style-type: none"> <li>• Quarterly Report</li> </ul>			



## OUTPUT 4 – PROGRAMME MANAGEMENT

### Narrative update on Progress towards Output

A Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia was signed on 17 June by MoIFA and the UN following approvals by the Somalia Development and Reconstruction Facility (SDRF) Steering Committee and the Programme Board. The Joint Programme has an initial duration of six months and focuses on four priority areas: (i) the physical establishment of the NIEC, (ii) capacity development of the NIEC, (iii) development of the legal framework for elections and referendum and (iv) raising awareness of the legal framework and electoral processes. The first meeting of the Joint Programme Board was held on 14 May to approve the Programme Document and a Terms of Reference (ToR) for the Programme Board was drafted. To strengthen management of the Programme a Project Assistant and Procurement Assistant were recruited in June and July respectively. To support the provision of technical expertise to MoIFA a Letter of Agreement was signed with MoIFA to engage a Senior Technical Electoral Adviser for MoIFA commencing in May.

Output Indicators	Baseline	Annual Target	Progress to date
1. Project management structure strengthened.	1. Project set up in 2014 with minimal staffing	1. Effective implementation of the project and technical electoral advice given.	A Project Assistant and Procurement Assistant were recruited in June and July respectively and a Senior Technical Electoral Adviser was engaged for MoIFA commencing in May.
Planned Activities as per Annual Work Plan		Progress Against Activities	
<ol style="list-style-type: none"> <li>1. Project management.</li> <li>2. Office equipment purchased.</li> <li>3. Meetings of Steering Committee/Project Board.</li> </ol>		<ol style="list-style-type: none"> <li>1. A Project Assistant and Procurement Assistant joined the team in June and July respectively and a Senior Technical Electoral Adviser was engaged for MoIFA through a Letter of Agreement commencing in May.</li> <li>2. The electoral office was set up within UNSOM with additional space for the expansion of the team.</li> <li>3. The first meeting of the Joint Programme Board was held on 14 May which approved the Programme Document and a draft Terms of Reference (ToR) for the Board was circulated following the meeting.</li> </ol>	

**Sources of Evidence for Results Progress and Achievements**

- Letter of Agreement with MoIFA
- Signed copy of the Programme Document
- Minutes of the Programme Board meeting

### **SECTION 3 – CROSS-CUTTING ISSUES (GENDER, PEACE AND CONFLICT, HUMAN RIGHTS)**

In keeping with the minimum gender requirements provided in the NIEC law two out of the nine individuals recommended as NIEC commissioners are women.

## SECTION 4 – CHALLENGES / LESSONS LEARNT

One of the main challenges has been the lack of progress in developing the electoral law and the increasingly compressed time in which to hold elections in 2016. In order to take stock of progress made so far and challenges ahead planning commenced to field a UN electoral Pre-Assessment Mission (PAM) to Somalia in early July.

## SECTION 5 – RISK MANAGEMENT

Type of Risk <sup>3</sup>	Description of Risk	Mitigating Measures
POLITICAL	The finalization of the appointment of NIEC commissioners could be delayed.	UN leadership to continue high-level engagement with FGS leadership on appointing the NIEC commissioners in a timely manner.
POLITICAL	Possible delay in initiating the drafting process for the electoral law.	UN to continue to engage with MoIFA to expedite drafting of the electoral law.
SECURITY	Security conditions adversely impact the frequency with which UN advisers can work closely with MoIFA, NIEC, Parliament and stakeholders.	UN to use alternative means such as videoconferencing or use offices at Villa Nabad and/or Villa Somalia to meet with counterparts and stakeholders.

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<sup>3</sup> Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.

## SECTION 7 – FINANCIAL REPORT

Donor	Annual Work Plan	Available resources for the year	Contribution as % of AWP	Expenditure	Balance <sup>[1]</sup>	% Delivery	Comments
Norway	350,000	14,819	2%	6,889	7,930	1%	
SIDA	250,000	250,000	31%	19,556	230,444	2%	
UNDP (TRAC)	215,593	1,191,333	146%	204,659	986,674	25%	
<b>TOTAL</b>	<b>815,593</b>	<b>1,456,152</b>	<b>179%</b>	<b>231,104</b>	<b>1,225,048</b>	<b>28%</b>	

N.B. EXPENDITURE FIGURES ARE PROVISIONAL SUBJECT TO CHANGE AS THE ACCOUNTS FOR Q2 ARE NOT CLOSED.

COMMITMENTS (OUTSTANDING PURCHASE ORDERS) AMOUNTING TO USD 473,085 ARE EXPECTED TO BE DELIVERED IN THE MONTH OF JULY 2015, WHICH WILL BRING DELIVERY TO 50%.

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<sup>[1]</sup> Available resource for the year minus funds disbursed till now.